

Demographic transition Theory

The phenomenon and theory of the demographic transition refers to the historical shift in demographics from high birth rates and high infant death rates in societies with minimal technology, education (especially of women) and economic development, to demographics of low birth rates and low death rates in societies with advanced technology, education and economic development, as well as the stages between these two scenarios. Although this shift has occurred in many industrialized countries, the theory and model are frequently imprecise when applied to individual countries due to specific social, political and economic factors affecting particular populations.

However, the existence of some kind of demographic transition is widely accepted in the social sciences because of the well-established historical correlation linking dropping fertility to social and economic development. Scholars debate whether industrialization and higher incomes lead to lower population, or whether lower populations lead to industrialization and higher incomes. Scholars also debate to what extent various proposed and sometimes inter-related factors such as higher per capita income, lower mortality, old-age security, and rise of demand for human capital are involved.

History of the theory

The theory is based on an interpretation of demographic history developed in 1929 by the American demographer Warren Thompson (1887–1973). Adolphe Landry of France made similar observations on demographic patterns and population growth potential around 1934. In the 1940s and 1950s Frank W. Notestein developed a more formal theory of demographic transition. By 2009, the existence of a negative correlation between fertility and industrial development had become one of the most widely accepted findings in social science.

The transition involves four stages, or possibly five.

- In stage one, pre-industrial society, death rates and birth rates are high and roughly in balance. All human populations are believed to have had this balance until the late 18th century, when this balance ended in Western Europe. In fact, growth rates were less than 0.05% at least since the Agricultural Revolution over 10,000 years ago. Population growth is typically very slow in this stage, because the society is constrained by the

available food supply; therefore, unless the society develops new technologies to increase food production (e.g. discovers new sources of food or achieves higher crop yields), any fluctuations in birth rates are soon matched by death rates.

- In stage two, that of a developing country, the death rates drop quickly due to improvements in food supply and sanitation, which increase life expectancies and reduce disease. The improvements specific to food supply typically include selective breeding and crop rotation and farming techniques. Other improvements generally include baking and access to ovens. For example, numerous improvements in public health reduce mortality, especially childhood mortality. Prior to the mid-20th century, these improvements in public health were primarily in the areas of food handling, water supply, sewage, and personal hygiene. One of the variables often cited is the increase in female literacy combined with public health education programs which emerged in the late 19th and early 20th centuries. In Europe, the death rate decline started in the late 18th century in northwestern Europe and spread to the south and east over approximately the next 100 years. Without a corresponding fall in birth rates this produces an imbalance, and the countries in this stage experience a large increase in population.
- In stage three, birth rates fall due to various fertility factors such as access to contraception, increases in wages, urbanization, a reduction in subsistence agriculture, an increase in the status and education of women, a reduction in the value of children's work, an increase in parental investment in the education of children and other social changes. Population growth begins to level off. The birth rate decline in developed countries started in the late 19th century in northern Europe. While improvements in contraception do play a role in birth rate decline, contraceptives were not generally available nor widely used in the 19th century and as a result likely did not play a significant role in the decline then. It is important to note that birth rate decline is caused also by a transition in values; not just because of the availability of contraceptives.^[6]
- During stage four there are both low birth rates and low death rates. Birth rates may drop to well below replacement level as has happened in countries like Germany, Italy, and Japan, leading to a shrinking population, a threat to many industries that rely on population growth. As the large group born during stage two ages, it creates an economic burden on the shrinking working population. Death rates may remain consistently low or

increase slightly due to increases in lifestyle diseases due to low exercise levels and high obesity and an aging population in developed countries. By the late 20th century, birth rates and death rates in developed countries leveled off at lower rates.

- Some scholars break out, from stage four, a "stage five" of below-replacement fertility levels. Others hypothesize a different "stage five" involving an increase in fertility.

As with all models, this is an idealized picture of population change in these countries. The model is a generalization that applies to these countries as a group and may not accurately describe all individual cases. The extent to which it applies to less-developed societies today remains to be seen. Many countries such as China, Brazil and Thailand have passed through the Demographic Transition Model (DTM) very quickly due to fast social and economic change. Some countries, particularly African countries, appear to be stalled in the second stage due to stagnant development and the effects of under-invested and under-researched tropical diseases such as malaria and AIDS to a limited extent.

Stage one

In pre-industrial society, death rates and birth rates were both high, and fluctuated rapidly according to natural events, such as drought and disease, to produce a relatively constant and young population. Family planning and contraception were virtually nonexistent; therefore, birth rates were essentially only limited by the ability of women to bear children. Emigration depressed death rates in some special cases (for example, Europe and particularly the Eastern United States during the 19th century), but, overall, death rates tended to match birth rates, often exceeding 40 per 1000 per year. Children contributed to the economy of the household from an early age by carrying water, firewood, and messages, caring for younger siblings, sweeping, washing dishes, preparing food, and working in the fields. Raising a child cost little more than feeding him or her; there were no education or entertainment expenses. Thus, the total cost of raising children barely exceeded their contribution to the household. In addition, as they became adults they become a major input to the family business, mainly farming, and were the primary form of insurance for adults in old age. In India, an adult son was all that prevented a widow from falling into destitution. While death rates remained high there was no question as to the need for children, even if the means to prevent them had existed.

During this stage, the society evolves in accordance with Malthusian paradigm, with population essentially determined by the food supply. Any fluctuations in food supply (either positive, for example, due to technology improvements, or negative, due to droughts and pest invasions) tend to translate directly into population fluctuations. Famines resulting in significant mortality are frequent. Overall, population dynamics during stage one are comparable to those of animals living in the wild. According to Edward, Revocatus. (2016) This is the earlier stage of demographic transition in the world and also characterized by primary activities such as small fishing activities, farming practices, pastoralism and petty businesses.

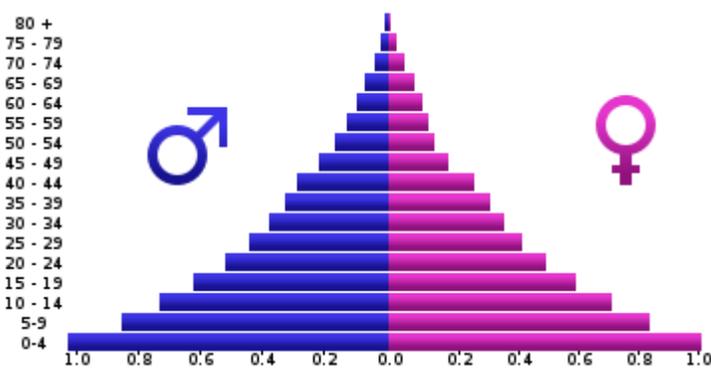
Stage two

This stage leads to a fall in death rates and an increase in population. The changes leading to this stage in Europe were initiated in the Agricultural Revolution of the eighteenth century and were initially quite slow. In the twentieth century, the falls in death rates in developing countries tended to be substantially faster. Countries in this stage include Yemen, Afghanistan, the Palestinian territories and Iraq and much of Sub-Saharan Africa (but do not include South Africa, Zimbabwe, Botswana, Swaziland, Lesotho, Namibia, Kenya, Gabon and Ghana, which have begun to move into stage 3).

The decline in the death rate is due initially to two factors:

- First, improvements in the food supply brought about by higher yields in agricultural practices and better transportation reduce death due to starvation and lack of water. Agricultural improvements included crop rotation, selective breeding, and seed drill technology.
- Second, significant improvements in public health reduce mortality, particularly in childhood. These are not so many medical breakthroughs (Europe passed through stage two before the advances of the mid-twentieth century, although there was significant medical progress in the nineteenth century, such as the development of vaccination) as they are improvements in water supply, sewerage, food handling, and general personal hygiene following from growing scientific knowledge of the causes of disease and the improved education and social status of mothers.

A consequence of the decline in mortality in Stage Two is an increasingly rapid growth in population growth (a.k.a. "population explosion") as the gap between deaths and births grows wider and wider. Note that this growth is not due to an increase in fertility (or birth rates) but to a decline in deaths. This change in population occurred in north-western Europe during the nineteenth century due to the Industrial Revolution. During the second half of the twentieth century less-developed countries entered Stage Two, creating the worldwide rapid growth of number of living people that has demographers concerned today. In this stage of DT, countries are vulnerable to become failed states in the absence of progressive governments.



Population pyramid of Angola 2005

Another characteristic of Stage Two of the demographic transition is a change in the age structure of the population. In Stage One, the majority of deaths are concentrated in the first 5–10 years of life. Therefore, more than anything else, the decline in death rates in Stage Two entails the increasing survival of children and a growing population. Hence, the age structure of the population becomes increasingly youthful and start to have big families and more of these children enter the reproductive cycle of their lives while maintaining the high fertility rates of their parents. The bottom of the "age pyramid" widens first where children, teenagers and infants are here, accelerating population growth rate. The age structure of such a population is illustrated by using an example from the Third World today.

Stage three

In Stage 3 of the Demographic Transition Model (DTM), death rates are low and birth rates diminish, as a rule accordingly of enhanced economic conditions, an expansion in women's status and education, and access to contraception. The decrease in birth rate fluctuates from nation to nation, as does the time span in which it is experienced. Stage Three moves the population towards stability through a decline in the birth rate. Several fertility factors contribute to this eventual decline, and are generally similar to those associated with sub-replacement fertility, although some are speculative:

- In rural areas continued decline in childhood death means that at some point parents realize they need not require so many children to be born to ensure a comfortable old age. As childhood death continues to fall and incomes increase parents can become increasingly confident that fewer children will suffice to help in family business and care for them in old age.
- Increasing urbanization changes the traditional values placed upon fertility and the value of children in rural society. Urban living also raises the cost of dependent children to a family. A recent theory suggests that urbanization also contributes to reducing the birth rate because it disrupts optimal mating patterns. A 2008 study in Iceland found that the most fecund marriages are between distant cousins. Genetic incompatibilities inherent in more distant outbreeding makes reproduction harder.
- In both rural and urban areas, the cost of children to parents is exacerbated by the introduction of compulsory education acts and the increased need to educate children so they can take up a respected position in society. Children are increasingly prohibited under law from working outside the household and make an increasingly limited contribution to the household, as school children are increasingly exempted from the expectation of making a significant contribution to domestic work. Even in equatorial Africa, children (age under 5) now required to have clothes and shoes, through the body, and may even require school uniforms. Parents begin to consider it a duty to buy children(s) books and toys, partly due to education and access to family planning, people begin to reassess their need for children and their ability to grow them.

A major factor in reducing birth rates in stage 3 countries such as Malaysia is the availability of family planning facilities, like this one in Kuala Terengganu, Terengganu, Malaysia.

- Increasing literacy and employment lowers the uncritical acceptance of childbearing and motherhood as measures of the status of women. Working women have less time to raise children; this is particularly an issue where fathers traditionally make little or no contribution to child-raising, such as southern Europe or Japan. Valuation of women beyond childbearing and motherhood becomes important.
- Improvements in contraceptive technology are now a major factor. Fertility decline is caused as much by changes in values about children and gender as by the availability of contraceptives and knowledge of how to use them.

The resulting changes in the age structure of the population include a decline in the youth dependency ratio and eventually population aging. The population structure becomes less triangular and more like an elongated balloon. During the period between the decline in youth dependency and rise in old age dependency there is a demographic window of opportunity that can potentially produce economic growth through an increase in the ratio of working age to dependent population; the demographic dividend.

However, unless factors such as those listed above are allowed to work, a society's birth rates may not drop to a low level in due time, which means that the society cannot proceed to stage Three and is locked in what is called a demographic trap.

Countries that have witnessed a fertility decline of over 50% from their pre-transition levels include: Costa Rica, El Salvador, Panama, Jamaica, Mexico, Colombia, Ecuador, Guyana, Philippines, Indonesia, Malaysia, Sri Lanka, Turkey, Azerbaijan, Turkmenistan, Uzbekistan, Tunisia, Algeria, Morocco, Lebanon, South Africa, India, Saudi Arabia, and many Pacific islands.

Countries that have experienced a fertility decline of 25–50% include: Guatemala, Tajikistan, Egypt and Zimbabwe. Countries that have experienced a fertility decline of less than 25% include: Sudan, Niger, Afghanistan.

Stage four

This occurs where birth and death rates are both low, leading to a total population stability. Death rates are low for a number of reasons, primarily lower rates of diseases and higher production of food. The birth rate is low because people have more opportunities to choose if they want children; this is made possible by improvements in contraception or women gaining more independence and work opportunities. The DTM is only a suggestion about the future population levels of a country, not a prediction.

Countries that are at this stage ($2 < \text{Total Fertility Rate} < 2.5$ in 2015) include: Antigua and Barbuda, Argentina, Bahrain, Bangladesh, Bhutan, Cabo Verde, El Salvador, Faroe Islands, Grenada, Guam, India, Indonesia, Kosovo, Libya, Malaysia, Maldives, Mexico, Myanmar, Nepal, New Caledonia, Nicaragua, Palau, Peru, Seychelles, Sri Lanka, Suriname, Tunisia, Turkey and Venezuela.

Stage five

The original Demographic Transition model has just four stages, but additional stages have been proposed. Both more-fertile and less-fertile futures have been claimed as a Stage Five.

Some countries have sub-replacement fertility (that is, below 2.1–2.2 children per woman). Replacement fertility is generally slightly higher than 2 (the level which replaces the two parents) both because boys are born more often than girls (about 1.05–1.1 to 1) and to compensate for deaths prior to full reproduction. Many European and East Asian countries now have higher death rates than birth rates. Population aging and population decline may eventually occur, assuming that the fertility rate does not change and sustained mass immigration does not occur.

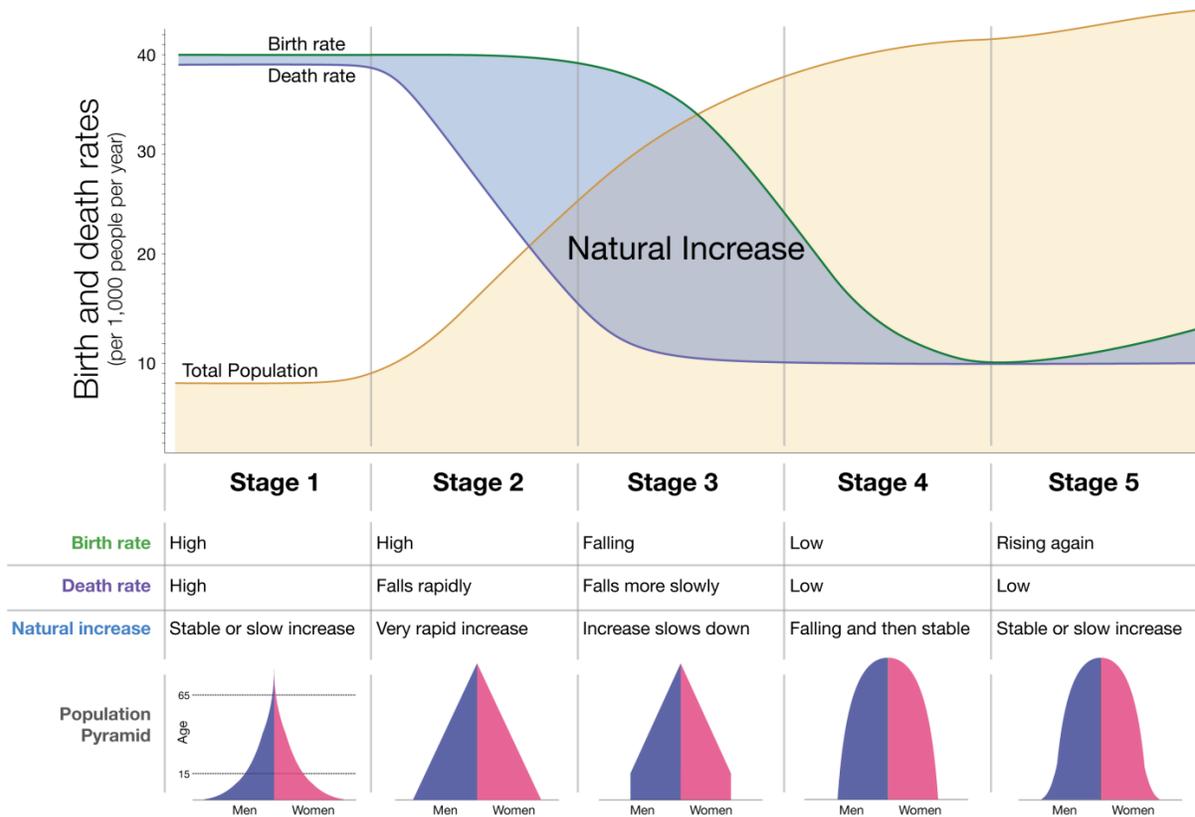
In an article in the August 2009 issue of *Nature*, Myrskylä, Kohler and Francesco Billari argue that the previously negative relationship between "development", as measured by the Human Development Index (HDI), and birth rates has become J-shaped. The HDI is a composite of life expectancy, income, and level of education. Development promotes fertility decline at HDI levels below 0.9 but further advances in HDI cause a small rebound in birth rate. In many

countries with very high levels of development, fertility rates are now approaching two children per woman — although there are exceptions, notably Germany, Italy and Japan.

From the point of view of evolutionary biology, wealthier people having fewer children is unexpected, as natural selection would be expected to favor individuals who are willing and able to convert plentiful resources into plentiful fertile descendants. This may be the result of a departure from the environment of evolutionary adaptedness.

Most models posit that the birth rate will stabilize at a low level indefinitely. Some dissenting scholars note that the modern environment is exerting evolutionary pressure for higher fertility, and that eventually due to individual natural selection or due to cultural selection, birthrates may rise again. Part of the "cultural selection" hypothesis is that the variance in birthrate between cultures is significant; for example, some religious cultures have a higher birthrate that isn't accounted for by differences in income.

The demographic transition in 5 stages



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Criticisms of the Theory of Demographic Transition

Despite its usefulness as a theory describing demographic transition in Western Countries, it has been criticised on the following grounds:

1. Sequences of Stages not uniform:

Critics point out that the sequences of the demographic stages have not been uniform. For instance, in some East and South European countries, and in Spain in particular, the fertility rates declined even when mortality rates were high. But in America, the growth rate of population was higher than in the second and third stage of demographic transition.

2. Birth Rate not declined initially in Urban Areas:

Not been supported by empirical evidence. Countries like Sweden and France with predominantly rural populations experienced decline in birth rates to the same extent as countries like Great Britain with predominantly urban populations.

3. Explanations of Birth Rate decline Vary;

The theory fails to give the fundamental explanations of decline in birth rates in Western countries. In fact, the causes of decline in birth rate are so diverse that they differ from country to country.

Thus the theory of demographic transition is a generalisation and not a theory.

Not only this, this theory is equally applicable to the developing countries of the world. Very backward countries in some of the African states are still in the first stage whereas the other developing countries are either in the second or in the third stage. India has entered the third stage where the death rate is declining faster than the birth rate due to better medical facilities and family welfare measures of the government.

But the birth rate is declining very slowly with the result that the country is experiencing population explosion. It is on the basis of this theory that economists have developed economic-demographic models so that developing countries should enter the fourth stage.

One such model is the Coale-Hoover model for India which has also been extended to other developing countries. Thus this theory has universal applicability, despite the fact that it has been propounded on the basis of the experiences of the European countries.

Conclusion

The theory of demographic transition is the most acceptable theory of population growth. It does not lay emphasis on food supply like the Malthusian theory, nor does it develop a pessimistic outlook towards population growth. It is also superior to the optimum theory which lays an exclusive emphasis on the increase in per capita income for the growth of population and neglects the other factors which influence it.

The biological theories are also one-sided because they study the problem of population growth simply from the biological angle. Thus the demographic transition theory is superior to all the theories of population because it is based on the actual population growth trends of the developed countries of Europe. Almost all the European countries have passed through the first three stages of this theory and are now in the fourth stage.